# Liquid Capital Statement

for the month of 31-DEC-18

## of M/s. Habib Metropolitan Financial Services Lt

Submission Date 14-JAN-2019 16:46:01

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1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities  t.(NII)  1.0% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables  1.16 Receivables of claims other than those on account of entitlements against trading of securities in all markets including MIM gains.  ii. Receivable on entitlements against trading of securities in all markets including MIM gains.  ii. Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iv. Incase of other trade receivables on more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  v. Incase of other trade receivables are overdue, or 5 days or more, t	1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1,039,497 0 1,039,497 etc.(Nii) 100% In respect of markup accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo airangement shall not be included in the investments) 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months II. Receivables other than trade receivables Receivables from clearing house or securities exchange(s) 1.100% value of claims other than those on account of entitlements against trading of securities in all markets including MMt gains. 1.17 Receivables on entitlements against trading of securities in all markets including MMt gains. 1.18 Receivables on entitlements against trading of securities in all markets including MIM gains. 1.19 Receivables are against margin financing, the aggregate If (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the Reposed as collateral by the finance (iii) market value of any securities determined through adjustments. 1.18 II. Incase receivables are against margin trading, 5% of the net balance sheet value or value determined through adjustments. 1.19 III. Incase receivables are against margin trading, 5% of the net balance sheet value. 1.19 III. Incase of other trade receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract. 1.11 III. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 1.100% haircut in the case of amount receivable form related parties. 29,675,950 29,675,950 29,675,950 1.11 III. Cash and Bank bal			200,000	222.222	
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v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  588,673	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  588,673 588,		iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	55,728,625	0	55,728,625
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  29,675,950  29,675,950	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  312,346  0 312,346  iii. Bank balance-customer accounts  62,797,817  0 62,797,81			4 0 4 0 5 7 7	E00 070	E00 070
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  29,675,950  29,675,950	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  312,346 0 312,346 0 312,346 0 62,797,817			4,842,5//	588,673	588,673
vi. 100% haircut in the case of amount receivable form related parties. 29,675,950 29,675,950	vi. 100% haircut in the case of amount receivable form related parties.       29,675,950       29,675,950         1.18       Cash and Bank balances <ul> <li>i. Bank Balance-proprietory accounts</li> <li>ii. Bank balance-customer accounts</li> <li>62,797,817</li> <li>62,797,817</li> </ul> 1.18     0     312,346         0       62,797,817       0         62,797,817       0       62,797,817		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       312,346       0       312,346         ii. Bank balance-customer accounts       62,797,817       0       62,797,81		securities held as collateral after applying VaR based haircuts.		<u></u>	
L LIX III och and Hank halandad	i. Bank Balance-proprietory accounts 312,346 0 312,346 ii. Bank balance-customer accounts 62,797,817 0 62,797,817	1 10		29,675,950	29,675,950	
eash and bank balanees	ii. Bank balance-customer accounts 62,797,817 0 62,797,81	1.10		312 346	0	312 346
	III. Casn in nand		iii. Cash in hand			

# Liquid Capital Statement

for the month of 31-DEC-18

## of M/s. Habib Metropolitan Financial Services Lt

Submission Date 14-JAN-2019 16:46:01

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C. No.	Hood of Aggount	Value in	Hair Cut/	Not Adjusted
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	505,860,512	190,316,297	410,269,347
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products	21,176,492	0	21,176,492
	iii. Payable against levelaged market products	120,593,538	0	120,593,538
2.2	Current Liabilities			
	i. Statutory and regulatory dues	3,660,120	0	3,660,120
	ii. Accruals and other payables iii. Short-term borrowings	7,894,362 31,871,532	0	7,894,362 31,871,532
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:  a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	185,196,044	0	185,196,044
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing			
0.2	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
2.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

# Liquid Capital Statement

for the month of 31-DEC-18

## of M/s. Habib Metropolitan Financial Services Lt

Submission Date 14-JAN-2019 16:46:01

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security  Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	320,664,468	190,316,297	225,073,303